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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ABBOTT SPINEApplication No./Patent No.: See Attachment A Filed/Issue Date: See Attachment AEntitled: See Attachment AABBOTT SPINE, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

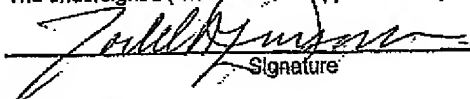
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☒ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Todd Dawson

Printed or Typed Name

11/24/08  
Date(574) 372-4281  
Telephone NumberVice President, Global Intellectual Property, Zimmer, Inc.  
signing on behalf of wholly owned subsidiary Abbott Spine

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

# ATTACHMENT A

Application #	Patent #	Reel/Frame for Assignee Spinal Concepts	Reel/Frame for Assignee Abbott Spine	SIPLG Docket No.
10/015206	6964664	021965/0283	021824/0852	ZIMM1230-1
10/735976		021968/0585	021824/0852	ZIMM1230-2
11/774340		021935/0453	021953/0285	ZIMM1750-3
10/633,371		015015/0392	021824/0852	ZIMM1780
09/093756	6132430	022005/0683	021824/0852	ZIMM1860-3
09/434324	6613050	021968/0594	021824/0852	ZIMM1860-4
09/605200	6576016	021965/0289	021824/0852	ZIMM1870-2
09/211887	5935133	021965/0286	021824/0852	ZIMM1880-2
09/211888	6391030	021968/0588	021824/0852	ZIMM1880-3
09/261977	6017344	022021/0642	021824/0852	ZIMM1960-4

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to Spinal Concepts, Inc. (the "Assignee"), a corporation organized and existing under and by virtue of the laws of Delaware, and having its principal place of business at 12012 Technology Blvd., Suite 100, Austin, Texas 78727, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/479,458 which has been filed on January 6, 2000, and is entitled **SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

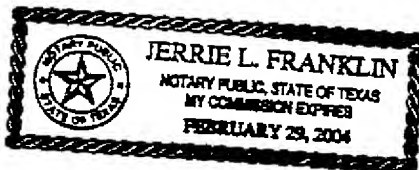
(Signature)

Name: Jim Freid

Date: 4-5-00

BEFORE ME, the undersigned authority, on this 5<sup>th</sup> day of April, 2000, personally appeared Jim Freid known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Jerrie L. Franklin  
Notary or Consular Officer

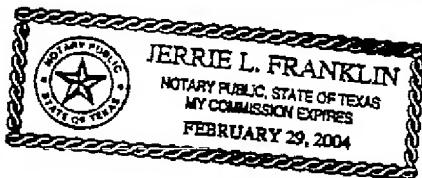
(Signature)

Name: Michael E. Landry

Date: 4-6-00

BEFORE ME, the undersigned authority, on this 6<sup>th</sup> day of April, 2000, personally appeared Michael E. Landry known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Jerrie L. Franklin  
Notary or Consular Officer

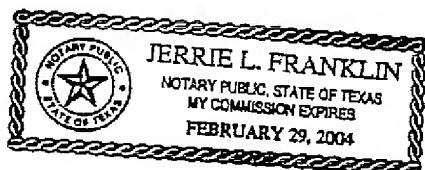
(Signature)

Name: Erik J. Wagner

Date: 4-6-00

BEFORE ME, the undersigned authority, on this 6<sup>th</sup> day of April, 2000, personally appeared Erik J. Wagner known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Jerrie L. Franklin  
Notary or Consular Officer

Eric B. Meyertons  
Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 06, 2009

PTAS

SPRINKLE IP LAW GROUP  
1301 W. 25TH STREET  
SUITE 408  
AUSTIN, TX 78705

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/12/2008

REEL/FRAME: 021824/0852  
NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SPINAL CONCEPTS, INC.

DOC DATE: 04/20/2005

ASSIGNEE:

ABBOTT SPINE, INC.  
5301 RLATA PARK COURT, BLDG. F.  
AUSTIN, TEXAS 78727

SERIAL NUMBER: 09684628

FILING DATE: 10/06/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: TRANSVERSE CONNECTOR WITH CAM ACTIVATED ENGAGERS

SERIAL NUMBER: 10387329

FILING DATE: 03/11/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: INSTRUMENTATION AND PROCEDURE FOR IMPLANTING SPINAL IMPLANT DEVICES

RECEIVED

JAN 14 2009

Docketed by: \_\_\_\_\_  
Docket #: \_\_\_\_\_

SERIAL NUMBER: 10735976 FILING DATE: 12/15/2003  
PATENT NUMBER: ISSUE DATE:  
TITLE: SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE

SERIAL NUMBER: 10838575 FILING DATE: 05/04/2004  
PATENT NUMBER: ISSUE DATE:  
TITLE: SPINAL IMPLANTS WITH BODY AND INSERT

SERIAL NUMBER: 10291223 FILING DATE: 11/08/2002  
PATENT NUMBER: ISSUE DATE:  
TITLE: INSTRUMENTS AND METHODS FOR INSERTING A SPINAL IMPLANT

SERIAL NUMBER: 10633371 FILING DATE: 08/01/2003  
PATENT NUMBER: ISSUE DATE:  
TITLE: SPINAL IMPLANT

SERIAL NUMBER: 09479458 FILING DATE: 01/06/2000  
PATENT NUMBER: 6331179 ISSUE DATE: 12/18/2001  
TITLE: SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE

SERIAL NUMBER: 10015206 FILING DATE: 11/19/2001  
PATENT NUMBER: 6964664 ISSUE DATE: 11/15/2005  
TITLE: SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE

SERIAL NUMBER: 08740123 FILING DATE: 10/24/1996  
PATENT NUMBER: 6416515 ISSUE DATE: 07/09/2002  
TITLE: SPINAL FIXATION SYSTEM

SERIAL NUMBER: 08942325 FILING DATE: 10/01/1997  
PATENT NUMBER: 6595992 ISSUE DATE: 07/22/2003  
TITLE: METHOD AND APPARATUS FOR SPINAL FIXATION

SERIAL NUMBER: 09026711 FILING DATE: 02/20/1998  
PATENT NUMBER: 5989250 ISSUE DATE: 11/23/1999  
TITLE: METHOD AND APPARATUS FOR SPINAL FIXATION

SERIAL NUMBER: 09093756 FILING DATE: 06/09/1998  
PATENT NUMBER: 6132430 ISSUE DATE: 10/17/2000  
TITLE: SPINAL FIXATION SYSTEM

SERIAL NUMBER: 09434324 FILING DATE: 11/04/1999  
PATENT NUMBER: 6613050 ISSUE DATE: 09/02/2003  
TITLE: METHOD AND APPARATUS FOR SPINAL FIXATION

SERIAL NUMBER: 09691279 FILING DATE: 10/17/2000  
PATENT NUMBER: 6562040 ISSUE DATE: 05/13/2003  
TITLE: SPINAL FIXATION SYSTEM

SERIAL NUMBER: 08847172 FILING DATE: 05/01/1997  
PATENT NUMBER: 6045579 ISSUE DATE: 04/04/2000  
TITLE: ADJUSTABLE HEIGHT FUSION DEVICE

SERIAL NUMBER: 09153178	FILING DATE: 09/15/1998
PATENT NUMBER: 6080193	ISSUE DATE: 06/27/2000
TITLE: ADJUSTABLE HEIGHT FUSION DEVICE	
SERIAL NUMBER: 09605200	FILING DATE: 06/27/2000
PATENT NUMBER: 6576016	ISSUE DATE: 06/10/2003
TITLE: ADJUSTABLE HEIGHT FUSION DEVICE	
SERIAL NUMBER: 09046759	FILING DATE: 03/24/1998
PATENT NUMBER: 6641614	ISSUE DATE: 11/04/2003
TITLE: MULTI-VARIABLE-HEIGHT FUSION DEVICE	
SERIAL NUMBER: 09070116	FILING DATE: 04/30/1998
PATENT NUMBER: 6706070	ISSUE DATE: 03/16/2004
TITLE: MULTI-VARIABLE-HEIGHT FUSION DEVICE	
SERIAL NUMBER: 08919127	FILING DATE: 08/26/1997
PATENT NUMBER: 5964769	ISSUE DATE: 10/12/1999
TITLE: SURGICAL CABLE SYSTEM AND METHOD	
SERIAL NUMBER: 09085186	FILING DATE: 05/26/1998
PATENT NUMBER: 6053921	ISSUE DATE: 04/25/2000
TITLE: SURGICAL CABLE SYSTEM AND METHOD	
SERIAL NUMBER: 09211887	FILING DATE: 12/15/1998
PATENT NUMBER: 5935133	ISSUE DATE: 08/10/1999
TITLE: SURGICAL CABLE SYSTEM AND METHOD	
SERIAL NUMBER: 09211888	FILING DATE: 12/15/1998
PATENT NUMBER: 6391030	ISSUE DATE: 05/21/2002
TITLE: SURGICAL CABLE SYSTEM AND METHOD	
SERIAL NUMBER: 09360062	FILING DATE: 07/23/1999
PATENT NUMBER: 6682533	ISSUE DATE: 01/27/2004
TITLE: SURGICAL CABLE SYSTEM AND METHOD	
SERIAL NUMBER: 09089027	FILING DATE: 06/02/1998
PATENT NUMBER: 6454769	ISSUE DATE: 09/24/2002
TITLE: SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE	
SERIAL NUMBER: 09095290	FILING DATE: 06/10/1998
PATENT NUMBER: 6030389	ISSUE DATE: 02/29/2000
TITLE: SYSTEM AND METHOD FOR STABILIZING THE HUMAN SPINE WITH A BONE PLATE	
SERIAL NUMBER: 08896281	FILING DATE: 07/16/1997
PATENT NUMBER: 5928243	ISSUE DATE: 07/27/1999
TITLE: PEDICLE PROBE AND DEPTH GAGE	
SERIAL NUMBER: 09159303	FILING DATE: 09/23/1998
PATENT NUMBER: 6146382	ISSUE DATE: 11/14/2000
TITLE: OCCIPITO-CERVICAL STABILIZATION SYSTEM AND METHOD	

SERIAL NUMBER: 09070323  
PATENT NUMBER: 6099527  
TITLE: BONE PROTECTOR AND METHOD

FILING DATE: 04/30/1998  
ISSUE DATE: 08/08/2000

SERIAL NUMBER: 08772407  
PATENT NUMBER: 5776135  
TITLE: SIDE MOUNTED POLYAXIAL PEDICLE SCREW

FILING DATE: 12/23/1996  
ISSUE DATE: 07/07/1998

SERIAL NUMBER: 09059162  
PATENT NUMBER: 6063089  
TITLE: SIDE MOUNTED POLYAXIAL PEDICLE SCREW

FILING DATE: 04/13/1998  
ISSUE DATE: 05/16/2000

SERIAL NUMBER: 08549977  
PATENT NUMBER: 5752955  
TITLE: SLIDING SHAFT VARIABLE LENGTH CROSS-LINK DEVICE FOR USE WITH DUAL ROD APPARATUS

FILING DATE: 10/30/1995  
ISSUE DATE: 05/19/1998

SERIAL NUMBER: 09059108  
PATENT NUMBER: 6139548  
TITLE: MLIDING SHAFT VARIABLE LENGTH CROSS-LINK DEVICE FOR USE WITH DUAL ROD APPARATUS

FILING DATE: 04/13/1998  
ISSUE DATE: 10/31/2000

SERIAL NUMBER: 08856773  
PATENT NUMBER: 5785711  
TITLE: POLYAXIAL PEDICLE SCREW HAVING A THROUGH BAR CLAMP LOCKING MECHANISM

FILING DATE: 05/15/1997  
ISSUE DATE: 07/28/1998

SERIAL NUMBER: 08880810  
PATENT NUMBER: 5810819  
TITLE: POLYAXIAL PEDICLE SCREW HAVING A COMPRESSION LOCKING ROD GRIPPING MECHANISM

FILING DATE: 06/23/1997  
ISSUE DATE: 09/22/1998

SERIAL NUMBER: 09070234  
PATENT NUMBER: 5961518  
TITLE: POLYAXIAL PEDICLE SCREW HAVING A THROUGH BAR CLAMP LOCKING MECHANISM

FILING DATE: 04/30/1998  
ISSUE DATE: 10/05/1999

SERIAL NUMBER: 09070268  
PATENT NUMBER: 5997539  
TITLE: POLYAXIAL PEDICLE SCREW HAVING A COMPRESSION LOCKING ROD GRIPPING MECHANISM

FILING DATE: 04/30/1998  
ISSUE DATE: 12/07/1999

SERIAL NUMBER: 09261977  
PATENT NUMBER: 6017344  
TITLE: POLYAXIAL PEDICLE SCREW HAVING A THROUGH BAR CLAMP LOCKING MECHANISM

FILING DATE: 03/04/1999  
ISSUE DATE: 01/25/2000

SERIAL NUMBER: 08622891  
PATENT NUMBER: 5653763  
TITLE: INTERVERTEBRAL SPACE SHAPE CONFORMING CAGE DEVICE

FILING DATE: 03/29/1996  
ISSUE DATE: 08/05/1997

SERIAL NUMBER: 08567144  
PATENT NUMBER: 5667507  
TITLE: COMPRESSION LOCKING VARIABLE LENGTH CROSS-LINK DEVICE FOR USE WITH DUAL ROD APPARATUS

FILING DATE: 12/04/1995  
ISSUE DATE: 09/16/1997



SERIAL NUMBER: 08632561	FILING DATE: 04/15/1996
PATENT NUMBER: 5709684	ISSUE DATE: 01/20/1998
TITLE: ADVANCED COMPRESSION LOCKING VARIABLE LENGTH CROSS-LINK DEVICE	
SERIAL NUMBER: 09229297	FILING DATE: 01/13/1999
PATENT NUMBER: 6123707	ISSUE DATE: 09/26/2000
TITLE: REDUCTION INSTRUMENT	
SERIAL NUMBER: 09298269	FILING DATE: 04/23/1999
PATENT NUMBER: 6442814	ISSUE DATE: 09/03/2002
TITLE: APPARATUS FOR MANUFACTURING A BONE DOWEL	
SERIAL NUMBER: 09369964	FILING DATE: 08/06/1999
PATENT NUMBER: 6557226	ISSUE DATE: 05/06/2003
TITLE: APPARATUS FOR MANUFACTURING A BONE DOWEL	
SERIAL NUMBER: 09532387	FILING DATE: 03/22/2000
PATENT NUMBER: 6565566	ISSUE DATE: 05/20/2003
TITLE: SACRAL SCREW ASSEMBLY	
SERIAL NUMBER: 09478923	FILING DATE: 01/06/2000
PATENT NUMBER: 6447512	ISSUE DATE: 09/10/2002
TITLE: INSTRUMENT AND METHOD FOR IMPLANTING AN INTERBODY FUSION DEVICE	
SERIAL NUMBER: 09755183	FILING DATE: 01/05/2001
PATENT NUMBER: 6524312	ISSUE DATE: 02/25/2003
TITLE: INSTRUMENT AND METHOD FOR IMPLANTING AN INTERBODY FUSION DEVICE	
SERIAL NUMBER: 09961758	FILING DATE: 09/24/2001
PATENT NUMBER: 6616671	ISSUE DATE: 09/09/2003
TITLE: INSTRUMENT AND METHOD FOR IMPLANTING AN INTERBODY FUSION DEVICE	
SERIAL NUMBER: 09952209	FILING DATE: 09/12/2001
PATENT NUMBER: 6746449	ISSUE DATE: 06/08/2004
TITLE: SPINAL ROD TRANSLATION INSTRUMENT	
SERIAL NUMBER: 09684218	FILING DATE: 10/06/2000
PATENT NUMBER: 6872208	ISSUE DATE: 03/29/2005
TITLE: ADJUSTABLE TRANSVERSE CONNECTOR	
SERIAL NUMBER: 09680756	FILING DATE: 10/06/2000
PATENT NUMBER: 6887241	ISSUE DATE: 05/03/2005
TITLE: ADJUSTABLE TRANSVERSE CONNECTOR WITH CAM ACTIVATED ENGAGERS	
SERIAL NUMBER: 10356391	FILING DATE: 01/31/2003
PATENT NUMBER: 7303564	ISSUE DATE: 12/04/2007
TITLE: SPINAL PLATE EXTENDER SYSTEM AND METHOD	
SERIAL NUMBER: 29155057	FILING DATE: 02/01/2002
PATENT NUMBER: D505205	ISSUE DATE: 05/17/2005
TITLE: BONE PLATE SYSTEM EXTENDER PLATE	